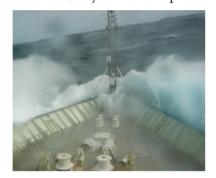


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1 Chemistry and Related Properties of Freshly Emitted Sea Spray ₂ Aerosol

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1. INTRODUCTION

36 Aerosol particles that exist in the marine atmosphere are 37 derived from both continental and oceanic sources. Since 38 aerosol lifetimes and atmospheric transport times often are 39 similar, particles emitted from continental sources (fossil fuel 40 combustion, biomass burning, dust, and biogenic emissions)

can be transported great distances across ocean basins, either in 41 the boundary layer or in the free troposphere. Marine vessel 42 emissions also contribute to the aerosol burden over the 43 oceans, particularly in coastal regions and major shipping 44 lanes.² As a result, marine aerosol number and mass 45 concentrations, chemical composition, and optical and cloud- 46 nucleating properties vary with distance from shore and with 47 the occurrence of transport events that carry continental 48 emissions thousands of kilometers downwind. While acknowl- 49 edging the complexity of the sources of aerosol in the marine 50 atmosphere, this review focuses on freshly emitted, primary sea 51 spray aerosol (SSA) generated at the ocean surface and factors 52 affecting its composition and associated properties. This 53 information is required for assessment and validation of 54 model estimates of the atmospheric chemistry and climate 55 impacts of SSA.

Wind-driven particle production at the ocean surface is one 57 of the largest global sources of primary atmospheric aerosol on 58 a mass concentration basis. 3,4 As a result, even in ocean regions 59 impacted by continental sources, SSA can make a significant 60 contribution to the total aerosol mass concentration under 61 moderate to high wind speed conditions. At wind speeds 62 greater than about 5 $m\cdot s^{-1}\text{,}$ breaking waves are formed on the 63ocean surface. 5 As waves break, air bubbles are entrained into 64 ocean surface waters. These bubbles rise to the surface and 65 burst, with each bubble producing up to hundreds of film drops 66 in the nanometer to micrometer size range (see Figure 1).^{6,7} 67 fl Ambient measurements and laboratory studies indicate that the 68 resulting SSA can have a trimodal size distribution for particles 69 less than 10 μm in diameter, with modes centered around 70 $0.02-0.05~\mu\text{m},~0.1-0.2~\mu\text{m},~\text{and}~2-3~\mu\text{m}.^{8,9}$ This multimodal 71 behavior suggests that several mechanisms are involved in SSA 72 production. Film drops form due to fragmentation of the thin 73 fluid cap film of each bubble. 10 Cap films can be stabilized by 74 surfactants, increasing their lifetime and affecting the bursting 75 dynamics of collections of bubbles on the water surface. 19 76 Larger diameter particles are produced by collapse of the 77 bubble cavity; it has been suggested that the material ejected 78 into the atmosphere emanates from the inner gas-liquid 79

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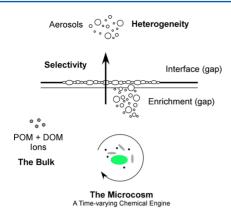


Figure 1. Representative diagram that underlies the fundamental processes that occur in wind-driven production of sea spray aerosol. Breaking waves entrain air bubbles into ocean surface waters. These bubbles scavenge organic matter as they rise to the surface. When they burst at the air—sea interface, they release a mixture of organic matter and inorganic sea salt, forming SSA. "The Microcosm" here describes dynamic microbial ecosystem processes and the interrelationships between dissolved organic matter (DOM), particulate organic matter (POM), and the biological material by which SSA composition is influenced. Large gaps exist in our knowledge regarding enrichment of organics, selectivity that occurs during the production of sea spray aerosol, and heterogeneity of individual sea spray aerosol particles. (Courtesy of Grant Deane, Scripps Institution of Oceanography).

 80 interface of the rupturing bubble. 12 It is believed this process 81 leads to the formation of jet drops ranging in size from about 1 to 25 μm . 12 In addition, spume drops are torn directly from the 83 crests of waves when wind speeds reach 10 m·s $^{-1}$ or more. 13 Having diameters between tens of micrometers and several millimeters, spume drops have very short atmospheric lifetimes of seconds to minutes 14 and consequently are often not included in considerations of atmospheric chemistry and/or aerosol—climate interactions. Overall, SSA production depends on bubble size distributions and lifetime, surface tension, and cap film thickness. 15,16 Uncertainties surrounding production mechanisms and associated controlling factors make this an active and ongoing area of research.

A number of studies have reported the scavenging of surface94 active (i.e., surfactant) organic material from seawater by rising
95 bubbles. 17-22 Upon bursting at the ocean surface, the bubbles
96 release this organic material into the atmosphere along with
97 other seawater-dissolved and particulate organic material.
98 Laboratory experiments indicate that longer bubble rise
99 times, 19 faster bubbling rates, and smaller bubble sizes 20 result
100 in an enrichment in organic carbon relative to seawater in the
101 freshly emitted SSA. These results imply that the magnitude of
102 the incorporation of organic carbon (OC) into SSA varies with
103 turbulence in the upper ocean. The result is a complex,
104 multicomponent chemical mixture of particles ranging from
105 nanometers to micrometers in diameter.

SSA impacts the earth's radiation balance directly by 107 scattering incoming solar radiation and indirectly by acting as 108 cloud condensation nuclei (CCN) and altering cloud micro-109 physical properties (e.g., cloud drop effective radius and 110 number concentration) and macrophysical properties (e.g., 111 cloud lifetime and extent). Since the wavelength of solar 112 midvisible radiation is centered around 0.55 μ m, the most 113 efficient particle size range for scattering light occurs between 114 0.2 and 1 μ m. The peak in the SSA number concentration 115 occurs at the lower end of this size range. Although the majority

of the SSA mass occurs in particles with diameters larger than 1 116 μ m, the large mass concentration of supermicrometer SSA 117 compensates for the low scattering efficiency of this size range. 118 In addition, if the supermicrometer SSA mass concentration is 119 large, it "tails" or extends into the submicrometer, more 120 optically active size range. Thus, SSA is the dominant 121 contributor to light scattering in the marine boundary layer 122 (MBL) for both the sub- and supermicrometer size ranges. 123 Consistent with this analysis, model calculations indicate that, 124 on a global scale, yearly averaged direct radiative forcing by SSA 125 is equal to or greater in magnitude than forcing by natural 126 sulfate and soil dust. 127,28 Hence, SSA may be the most 127 important natural aerosol chemical component in the 128 atmosphere in terms of aerosol direct effects and scattering of 129 solar radiation.

While direct scattering of solar radiation in the MBL is 131 controlled by SSA mass concentrations, the indirect effects of 132 CCN on MBL cloud properties are influenced by the SSA 133 number concentrations. For a given supersaturation of water, 134 the CCN number concentration depends on the number of 135 particles that are sufficiently large with enough soluble species 136 to take up water vapor and activate to form cloud droplets. 137 Measurements suggest that the effective supersaturation of 138 MBL clouds ranges between approximately 0.1% and 139 0.4%, ^{29–32} although effective supersaturations up to 1% for 140 clean stratus clouds (CCN and total particle concentrations 141 <300 cm⁻³) have been reported. ³³ Particles larger than 300 nm 142 will activate regardless of chemical composition, but because of 143 their limited number, they do not contribute significantly to 144 CCN number concentrations (see Figure 2). For particles less 145 f2

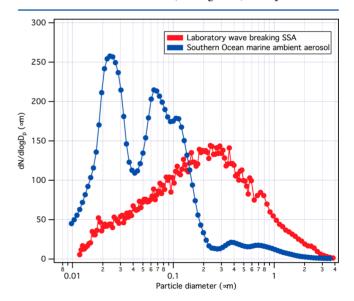


Figure 2. Comparison of number size distributions of ambient open ocean aerosol measured over the Southern Ocean during remote marine conditions⁴⁴ and SSA particles generated by laboratory breaking waves.⁴³ Both are shown for relative humidity (RH) of 15%.

than 300 nm in diameter, chemical composition affects the 146 critical diameter ($D_{\rm c}$) for activation to a cloud droplet (the size 147 at which 50% of particles activate at a given supersaturation) 148 through the molecular weight, degree of dissociation, density, 149 and solubility of the solute, along with the surface tension of 150 the aqueous droplet at the point of nucleation. For soluble 151 compounds that do not appreciably affect droplet surface 152 tension, Köhler theory³⁴ predicts $D_{\rm c}$ values between about 40 153

154 and 300 nm for water vapor supersaturations of 0.1–0.4%. $D_{\rm c}$ 555 can be as small as 20–30 nm at 1% supersaturation. Hence, 156 particles less than 300 nm in diameter will determine the CCN 157 concentration in the remote MBL. Under certain conditions, 158 SSA larger than about 1 μ m in diameter may act as so-called 159 "giant CCN" and/or ice nuclei; the influence of aerosols on 160 clouds through these two pathways may affect precipitation 161 rates and the magnitude of the local aerosol indirect effect. 35–37

MBL ambient aerosol is a mixture of freshly emitted SSA, 163 particles of continental and marine origin that have been mixed 164 into the MBL from the free troposphere, and particles of 165 various ages and origins that have been chemically 166 modified. For example, soon after emission, with the exact time scale depending on the species and processes involved, secondary organic reaction products derived from the oxidation of precursor gases can condense onto freshly emitted 170 SSA. 41 In addition, the enriched organic matter in freshly 171 emitted SSA can react photochemically, resulting in a loss of 172 particulate organic species and the production of volatile, low-173 molecular-weight organic compounds. 42 This complex mixture 174 of aerosols and the processing that occurs in the marine atmosphere make it impossible to characterize freshly emitted SSA through measurements of ambient marine aerosol. For example, Figure 2 shows the differences in aerosol number size distribution observed for SSA particles generated from breaking waves in the laboratory⁴³ and for ambient aerosol measured over the Southern Ocean during remote marine conditions as indicated by low radon concentrations (<100 mBq·m⁻³) and 182 low total particle number concentration (<500 cm⁻³). 44 In 183 contrast to the monomodal freshly emitted SSA size 184 distribution, the ambient marine aerosol distribution is bimodal 185 in the submicrometer size range. This shape is typical of marine 186 aerosol and indicates that it has undergone coalescence and 187 solute condensation in cloud, growing the smaller Aitken mode 188 particles into the accumulation mode size range.²⁹ Accumu-189 lation mode particles can also "accumulate" mass over the 190 course of their lifetime through the condensation of gas-phase 191 species out of cloud. In addition, ambient aerosol is subject to 192 loss processes including sedimentation of larger particles.

Studies aimed at characterizing the properties of freshly emitted SSA have been performed by generating SSA from seawater while avoiding interferences from gas- and particle-phase species in the marine atmosphere. These studies include phase species in the marine atmosphere. These studies include generation of SSA from natural seawater in both field $^{16,45-48}$ and laboratory settings. $^{19,43,49-59}$ Chemical measurements indicate that the enrichment of organic carbon relative to seawater is highest for the smallest particle size ranges measured ($D_{\rm p,aero,60\% RH} \lesssim 180$ nm), $^{45,47,49,52}_{\rm suppress}$ which correspond to the size range that dominates the MBL CCN population. If the ocean-derived organic species incorporated into SSA suppress surface tension, they may reduce the supersaturation required for the SSA to activate into cloud droplets. In addition, photochemical processing of organic compounds within SSA solutions is likely a significant source of OH and other radicals, which can lead to low-molecular-weight reaction products including carboxylic acids, ketones, and alcohols. The control of the size range of the products including carboxylic acids, ketones, and alcohols.

Previous reviews of the state of knowledge on sea spray aerosol have focused on production fluxes and mechanisms focused and on physical and chemical properties. This review will focus on the results of field and laboratory experiments that have been performed to characterize the properties of freshly emitted SSA, with a strong emphasis on the organic fraction.

2. SEAWATER ORGANIC CARBON POOL

The ocean contains one of the largest reservoirs of reactive 217 organic carbon on Earth. This carbon pool is operationally 218 divided into particulate organic carbon (POC), which is large 219 enough in size to be retained on a filter with pore sizes ranging 220 from 0.2 to 0.7 μ m, and dissolved organic carbon (DOC), 221 which consists of colloidal particles small enough to pass 222 through a filter (e.g., vesicles, gel-like particles, 66,67 and 223 viruses 19 and dissolved compounds. POC includes 224 phytoplankton, zooplankton, bacteria, and detritus and makes 225 up only ~3% of the ocean's total organic carbon (TOC). At 226 the molecular level, DOC is composed of a mixture of 227 biopolymers including polysaccharides, proteins, nucleic acids, 228 and lipids. Figure 3 displays the known components of the 229 f3 seawater organic carbon pool as a function of size.

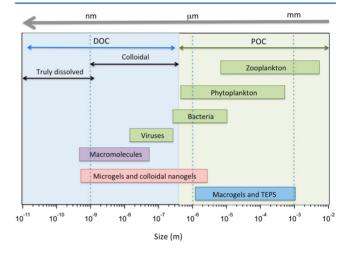


Figure 3. Size scale of organic carbon components in seawater. Material retained by seawater filtration at 0.2 μ m pore size is regarded as particulate organic carbon. The fraction that passes through the filter is labeled as dissolved organic carbon and includes colloidal and truly dissolved materials. Macromolecules include humic substances and high molecular weight DOM. Adapted from Verdugo et al.⁶⁷

The microbial food web is the dominant source and chemical 231 processor of oceanic DOC. Exopolymer substances (EPS) 232 secreted by phytoplankton are taken up and enzymatically 233 degraded by marine heterotrophic bacteria. In addition, 234 grazing of bacteria by higher heterotrophic organisms trans- 235 forms organic carbon within the food web, influencing its 236 composition. Overall, marine heterotrophic bacteria can 237 transform DOC into organic compounds that have long 238 lifetimes in the ocean (also known as recalcitrant or refractory 239 carbon) 4,77,78 and therefore have a molecular structure distinct 240 from more labile forms.

As stated above, the primary source of DOC in ocean surface 242 waters is the microbial food web. Additional processes that 243 control the distribution and loss of DOC from surface waters 244 include vertical mixing and horizontal advection, ⁸⁰ reactivity of 245 algal-derived DOC, ⁸¹ accommodation of DOC by particulates 246 with subsequent sinking, ⁸² and UV oxidation and volatilization 247 at the ocean surface. ^{42,83} As a result, the distribution of DOC in 248 surface waters in any given region can be decoupled from local 249 biological production.

Only about 15% of seawater DOC has been identified at the 251 molecular level due to low concentrations (total DOC 252 concentrations in surface seawater range between 60 and 90 253

Table 1. Classes of Compounds and Materials Found in Marine Organic Matter, Their Subsurface Concentrations, and Enrichment Factors in Sea Surface Microlayer and Sea Spray Aerosol Particles^a

| Component | Metric | Surface Seawater Conc. | Enrichment Factor SSML | Enrichment Factor SSA | Example Molecule | Ref |
|---------------------------------------|--|------------------------------------|------------------------------|---|--|-----------------|
| DOC | passed through 0.7 μm filter (μM) | 60 - 90 | 1-2 [S,G] | See Figure 4 | | 70,94- 96 |
| POC | collected by 0.7 µm filter (µM) | 2-7 (1 - 800) | ~4 (1.3 – 38) [S,G] | See Bacteria and Virus- like Particles | | 95,97- 98 |
| Carbohydrates | carbohydrate (µM C glucose equiv.) | 10 - 25 | 1.3 – 3 [S,G] | Detected ^a , No EF ^b | HO OH OH | 70,94,9 7,99 |
| | hydrolyzable neutral sugars (μΜ) | 0.2 - 0.8 | | | [poly- saccharide] | 70 |
| | hydrolyzable amino sugars (μΜ) | 0.042 – 0.094 | | | [peptidoglycan] | 70 |
| Proteins, Peptides, Amino Acids | Dissolved combined amino acids (µM) | 0.2 - 1 | 1.9 [S] | 1.2-20 | see PDB ID: 1RBL | 70,100- 102 |
| Fatty Acids | solvent extractable lipids (μΜ) | 0.0002 - 0.0007 | 3.5 [S] | Detected ^a , No EF ^b | HO 12 | 103 |
| Nucleic Acids (DNA, RNA) | (ug L ⁻¹) | 1 – 30 | | | HO O O O O O O O O O O O O O O O O O O | 104-105 |
| Sterols, Hopanoids | total dissolved sterols in lipid extract (ug L ⁻¹) | 0.5 – 7.7 | 2 (0.2 – 8) [S] | Detected ^a , No EF ^b | HO | 106 |
| Organosulfur | DMSP (μM) | 0.0076 | 1.85 (0.81-4.6) [S] | Detected ^a , No EF ^b | `s+^\o. | 59,107 |
| CDOM | Absorbance at 350nm (m ⁻¹) | 0.014- 0.05 | 1.3-4 [S] | | | 95- 96,108 |
| Bacteria | (cells mL ⁻¹) | 1.1 x 10 ⁶ | 1 – 2, 6 [S,G] | 10 | | 97,101, 109 |
| Virus-Like Particles (VLP) | (mL ⁻¹) | 2.2 x 10 ⁶ | 1.5, 7 [S] | 10 | | 101,109 |
| Microgels | (as TEP; mL ⁻¹) | 10 ⁶ - 10 ¹² | 1.3 – 5 [G,S] | Detected ^a | | 66,97,1 10 |

"Has been reported to be detected in SSA. "No EF $_{OC}$ has been reported. SSML sampling methods: 97 [S] screen or mesh, [G] glass slide. Enrichment factor (EF) here refers to the ratio of SSML or SSA concentration relative to the subsurface concentration

254 µM) and a lack of methods with the required sensitivity.⁷⁰ 255 Carbohydrates, existing in a combined or polysaccharide form, 256 make up the largest identified fraction (10-15%).70 Other classes of compounds that have been identified include neutral sugars, amino acids, amino sugars, and lipids (Table 1).84-87 In addition to molecular-level analysis, solid-phase extraction has been used to isolate and characterize the humic fraction of DOC. Humic substances, defined as dissolved organic matter (DOM) that is retained on XAD-2 or XAD-8 resins under acidic conditions, make up 5-25% of surface seawater DOC.88 High-molecular-weight DOM, defined as DOM that is retained by an ultrafiltration membrane with 1 nm pore size and a 1000 266 Da cutoff, makes up 25-40% of surface ocean DOC.⁸⁸ Studies of the composition of marine DOM using highresolution mass spectrometry appear to be a promising and helpful direction. 79,89,90 Electrospray ionization (ESI), a soft ionization technique that ionizes polar compounds in aqueous solutions, followed by injection into a high-resolution mass 272 spectrometer, allows for the detection of individual molecules 273 within an organic mixture. For example, a combination of ESI

274 and Fourier transform ion cyclotron resonance mass spectrom-

etry (FT-ICR MS) has been used to investigate molecular-level 275 changes in ocean DOM resulting from microbial and 276 photochemical processes.⁸⁹

Over the past decade, hydrogels have been increasingly 278 recognized as a significant component of seawater. 66,67 279 Polymeric DOM is composed of hydrophobic and amphiphilic 280 chains. The polymers can be coordinated by cations available in 281 seawater and form nanogels (100–150 nm) through ionic and/ 282 or hydrophobic interactions. Nanogels then anneal, forming 283 microscopic transparent exopolymeric particles (TEP) ranging 284 in size from less than 2 μ m to greater than 100 μ m. 91,92 285 Continued agglomeration of colloidal DOM into particulate organic matter (POM) can result in meter-sized macrogels. 93 It 287 has been estimated that bulk seawater contains 700 Gt of DOC, 288 3 Gt of POC, and 70 Gt of hydrogels including 10 Gt of 289 microscopic gels. 66

The sea surface microlayer (SSML), operationally defined as $_{291}$ the top 1–1000 μ m of surface waters, serves as the boundary $_{292}$ layer interface between the ocean and atmosphere. $_{111}^{111}$ As such, $_{293}^{111}$ it has received attention due to its potential role in enriching $_{294}^{111}$ organic matter in SSA. The composition of organics in the $_{295}^{111}$

296 SSML varies with microbial community composition but, in 297 general, is dominated by carbohydrates with smaller contribu-298 tions from proteins and lipids. SSML enrichment factors for 299 DOC, total dissolved carbohydrates (TDC), chromophoric 300 dissolved organic matter (CDOM), POC, and TEP have been 301 reported, based on simultaneous sampling of SSML and 302 underlying subsurface waters, and are summarized in Table 1. 303 In general, SSML enrichments have been found to be low for 304 DOC (<2) relative to subsurface water for both coastal and 305 open ocean regions. 70,94–96,112,113 TDC and CDOM SSML 306 enrichments are also less than 2 except in regions of visible 307 slicks, which result from organic matter enrichments above 308 some threshold. TDC and CDOM SSML enrichment factors 309 have been found to be up to 3, on average, in slicks. 113 Some 310 studies have noted that the enrichment of nitrogen-containing 311 material is higher than that of carbohydrates and hydrocarbons, 312 but the identity of these compounds has not been not fully 313 elucidated. 99 SSML enrichment of POC is higher than that of TOC, ranging from 1.3 to 38.95,96
315 Wurl et al. 113,114 reported the persistence of TDC and

316 CDOM SSML enrichments at wind speeds up to 9.6 m·s⁻¹, the 317 highest winds encountered, indicating a lack of SSML 318 dispersion under moderate wind conditions. The persistence 319 of the CDOM SSML enrichment, even with a short lifetime 320 with respect to photochemical transformation and degrada-321 tion, 115 suggests that it is continually being supplied from 322 subsurface waters to the SSML. In contrast, a negative 323 correlation was reported between TEP SSML enrichment and wind speed, indicating a removal of TEP through deep bubble 325 mixing or bubbles bursting at the ocean surface. Transport of 326 TEP from the SSML to the atmosphere within SSA particles 327 produced by bubble bursting is supported by Leck and Bigg, 116 who observed organic microcolloids surrounded by transparent 329 material assumed to be exopolymer gel that is prevalent in the 330 SSML.

Measurements off Long Island, NY, revealed SSML enrich-332 ment factors of bacteria and viruses relative to subsurface waters 333 on the order of 5 and 7, respectively. 109 In addition, 334 measurements of bacteria and viruses in SSA (1.2-20 μ m in 335 diameter at ambient RH) allowed for the assessment of 336 enrichment factors relative to both the SSML (~5) and 337 subsurface waters (\sim 10). The greater enrichment of organic 338 and/or biological material in SSA compared to SSML samples 339 supports the concept that the SSML is a mechanistic 340 intermediate for the transfer of condensed-phase material 341 from the ocean to the atmosphere. Table 1 shows subsurface 342 concentrations and enrichment factors for many classes of 343 dissolved and particulate organics in the SSML and in SSA 344 (relative to the subsurface concentration where known). The 345 amount and type of organic molecules in SSA that are derived 346 from the subsurface versus SSML remains an open question.

3. GENERATION OF FRESHLY EMITTED SEA SPRAY **AEROSOL** 347

348 Characterization of freshly emitted SSA requires production, 349 sampling, and analysis methods that can generate and allow 350 isolation of these particles, preventing them from being 351 modified by ambient gases and particles. Two approaches to 352 SSA generation have been developed. Bubbles can be generated 353 in situ in ocean surface waters while the resulting SSA is drawn 354 into shipboard instrumentation. 46 Alternatively, seawater can be 355 pumped into a ship or laboratory where it is bubbled in a tank 356 or generator to produce SSA. 16,43,48,49,58 Although the use of

tanks removes the seawater from its natural physical environ- 357 ment, it allows for the systematic change of seawater properties 358 (e.g., temperature, dissolved oxygen, salinity, biological 359 composition) to study the effects on SSA flux^{48,49,117–119} and 360 composition. ^{43,50,51,53}

3.1. Field Measurements

An SSA generator known as Sea Sweep, 46 the only method 362 currently reported to follow the first approach, generates SSA in 363 situ by producing bubbles 0.75 m below the sea surface 364 alongside a ship. A curtain of particle-free air prevents ambient 365 air from entering the stainless steel hood above the bubbles. 366 The freshly emitted SSA produced when the bubbles burst at 367 the ocean surface is drawn from the hood to aerosol 368 instruments aboard the ship. With the ship moving through 369 the water, the bubbled water is being constantly replenished. 370 The more common approach for shipboard measurements is to 371 pump seawater to the ship and generate SSA onboard. 16,48 A 372 bubble generator designed to model ocean-atmosphere 373 processes that result in the production of SSA has been 374 deployed on ships. 16,49,120 The volume of the generator, 375 seawater flow rate, and location of the frits used to form 376 bubbles in the generator are such that the average injection 377 depth and lifetimes of the bubbles are representative of average 378 conditions for the surface ocean. Other shipboard methods for 379 generating SSA have included the use of a tank continuously 380 replenished with seawater and bubble production by an 381 impinging water jet.45,48

3.2. Laboratory Measurements

Generation of SSA from natural and artificial seawater has been 383 performed for several decades in the laboratory to characterize 384 the chemical and physical properties of 385 SSA. 9,16,43,46-51,53-55,58,59,119-128 In addition, the formation 386 and properties of bubbles in seawater have been probed to 387 better understand their roles in the bubble bursting process and 388 their influence on SSA properties. 9,15,43,51,127 For example, the 389 bubble size distribution plays a key role in SSA production 390 since bubble size at the time of bursting dictates the relative 391 number of SSA produced from film droplets versus jet 392 droplets. ^{10,126,129} In most field and laboratory experiments, 393 SSA production involves bubbles that have been generated by 394 forcing pure air (or N_2) through submerged sintered filters or 395 diffusers, which are also known as frits, $^{19,46,49,53-55,58,127,130}$ or 396 by impinging a water jet or waterfall on the surface of seawater, 397 or an artificial seawater proxy solution, in a tank. 9,45,48,51,128 398 Both of these methods have been recently tested against 399 laboratory breaking waves, ^{43,51} with the impingement of falling 400 water showing a greater similarity to breaking waves than does 401 the sintered glass filter technique. While the details of these and 402 other investigations will be discussed below, it is important to 403 stress that the method by which SSA particles are generated has 404 been shown to influence their physical 9,127 and chemical 1405 properties.

Recently, freshly emitted SSA has been generated by 407 breaking waves in a 33-m-long wave channel apparatus⁴³ in 408 which reproducible breaking waves yield bubble size distribu- 409 tions similar to those observed in the open ocean. 131 In 410 addition, the wave channel apparatus mimics the physical 411 processes of mixing and air entrainment that occur when waves 412 break in the open ocean. A generation technique for SSA has 413 been developed that is based on the wave channel using a 414 pulsed plunging waterfall and has been used to physically mimic 415 breaking waves. The plunging waterfall apparatus includes not 416

417 only the sheetlike shape of an open ocean wave, which impacts
418 the seawater surface with gravitational acceleration, but also the
419 episodic (or "pulsed") nature of bubble production by waves in
420 the open ocean and a "sweeping" action in which bubbles are
421 produced by impingement of water over a given area of the
422 seawater surface. Physical properties of the plunging
423 waterfall, such as plunging jet/sheet surface roughness 133 or
424 the dissipation rate of turbulent kinetic energy, 134 have an
425 important influence on the bubble plume and the subsequent
426 surface foam. Each of these factors can be modulated by natural
427 variations in the marine environment, such as changes in water
428 temperature or composition.

A recent study^{43,51} using the wave channel apparatus 430 compared bubble size distributions generated by sintered 431 glass filters and a pulsed plunging waterfall. The pulsed 432 plunging waterfall was found to produce a bubble size 433 distribution that most closely matches that of breaking waves 434 generated from both laboratory and open ocean measure-435 ments. 123,131,136,137 Most significantly, bubble size distributions 436 produced with the pulsed plunging waterfall replicated⁴³ the 437 shape of the distribution around the Hinze scale $(a_{\rm H})^{131}$ 438 (Figure 4a), the size known to provide the greatest contribution 439 to whitecap foam formation, which is an important driver of 440 SSA production at the ocean surface. 5,12 Sintered glass filters 441 produced a bubble size distribution similar in shape to the 442 pulsed plunging waterfall and laboratory breaking waves in the 443 0.02–1.4 mm bubble size range. However, they did not 444 produce bubbles larger than 1.4 mm. As a result, the SSA 445 number size distributions generated by sintered glass filters 446 were found to have a smaller submicrometer modal diameter 447 than those generated by the plunging waterfall and breaking wave methods (Figure 4b,c).45

SSA chemical composition and related properties may also 450 vary depending on the production method. However, a direct 451 comparison of bubble bursting methods indicates that 452 subsaturated water uptake properties show only slight differ-453 ences. These small differences could be due to differing 454 organic matter transfer properties of the various bubbling methods. Similarly, the CCN activity of submicrometer SSA particles (water uptake under supersaturated conditions) has 457 been found to be nearly indistinguishable between methods, 458 unless a thick foam is formed on the water surface. 138 Direct 459 chemical analysis of particles with diameters closer to 1 μ m, 460 however, shows a strong sensitivity to bubble generation 461 mechanism, suggesting perhaps that the chemical composition 462 of these larger particles is more sensitive to differences in 463 bubble generation mechanism in laboratory chambers, 51 464 although a study of submicrometer particle composition with 465 a similar level of detail is warranted.

3.3. Considerations for Wave Breaking Proxies

466 Wave breaking is an inherently episodic phenomenon. In most
467 cases, bubble plumes entrained in the surface ocean fully decay
468 via bursting before more bubbles are entrained in the same
469 volume of seawater. Based on observations at a coastal
470 location, the decay time of oceanic whitecap foam ranges from
471 0.2 to 10 s, with the decay time depending on the
472 concentration and composition of surface-active com473 pounds. Foam has been observed to form in SSA
474 generators that contain high concentrations of surface-active
475 material, sometimes becoming a thick cap on the water surface
476 throughout the tank. Persistent foam on the water
477 surface in laboratory SSA generators has been shown to

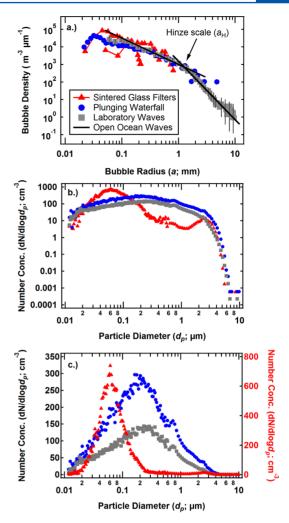


Figure 4. (a) Normalized bubble size distribution from sintered glass filters, laboratory breaking waves, and plunging waterfall. ⁴³ The solid line refers to the shape of the bubble size distribution of waves in the open ocean. ¹³¹ (b) Number size distributions of SSA generated by each of the three methods are shown on a log—log scale to emphasize the difference in modal diameter and submicrometer size distribution shape. ^{43,51} The shape of the size distributions from breaking waves and plunging waterfall are similar. (c) Sintered glass filters, which produce a different size distribution of bubbles (i.e., lacking bubbles with diameters greater than 1.4 mm), yield SSA with a different number size distribution shape. The sintered glass filters distribution corresponds to the right-hand vertical axis.

influence the total aerosol concentration, ^{16,49,138} induce a 478 change in the shape of the number size distribution, ^{51,138} 479 decrease CCN activity, ¹³⁸ and increase organic content ⁵¹ of 480 aerosol particles formed through bubble bursting. By allowing 481 bubble plumes to decay to some extent before bubble 482 generation is resumed, the formation of foam can be reduced 483 but not fully eliminated. ⁵¹ Refreshing the air—water interface by 484 circulating seawater through the tank and allowing surface 485 water to spill off into a drain ^{49,58} can reduce or even preclude 486 the formation of foam. Further measurements are required to 487 assess the environmental relevance of aerosol production in 488 SSA generators under varying foam presence, extent, and 489 morphology. Since foam forms and is stabilized by the presence 490 of surface-active compounds, ¹¹ measurements of surface 491 tension and surfactant concentrations, such as those recently 492 reported by Long et al., ¹⁶ would help to constrain realistic foam 493

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494 conditions in laboratory experiments and help give SSA 495 production physicochemical context.

4. SIZE-DEPENDENT ENRICHMENT OF ORGANIC **MATTER**

497 The enrichment of organic material in SSA is often defined as

$$EF_{OC} = \frac{OC_{SSA}/Na_{SSA}}{OC_{seawater}/Na_{seawaterr}}$$
(1)

499 where EF_{OC} is the enrichment factor of organic carbon in sea 500 spray aerosol relative to that in seawater, OC_{SSA} and Na_{SSA} are 501 the concentrations of organic carbon and Na+ in sea spray 502 aerosol, and $OC_{seawater}$ and $Na_{seawater}$ are the corresponding 503 concentrations in seawater. Several studies have 504 reported values of EF_{OC} for freshly emitted SSA that was generated from natural seawater with techniques able to 506 eliminate any influence of ambient constituents. 19,45,47,45

Hoffman and Duce¹⁹ reported EF_{OC} for bulk SSA (D_p < 20 508 μm at 48-79% RH) produced from seawater collected from 509 Narragansett Bay and the Sargasso Sea. Values averaged 250 \pm 510 145 and 74 \pm 27 for the productive waters of Narragansett Bay 511 and oligotrophic waters of the Sargasso Sea, respectively. 19 The 512 authors hypothesized that the larger EF_{OC} values for the 513 Narrangansett Bay SSA were due to more surface-active 514 organics in the seawater relative to the Sargasso Sea. When 515 surface-active material was added to the natural seawater, it was 516 found that the OC to Na+ ratio increased dramatically, 517 confirming that surfactant material in seawater has a significant influence on the organic content of SSA. Keene et al. 49 measured size-segregated EF $_{\rm OC}$ for SSA

520 derived from Sargasso Sea water. Values were found to increase with decreasing particle size such that sub-1 μ m and sub-0.18 $522 \mu m$ diameter SSA (79% RH) had EF_{OC} on the order of 10^3 and 523 10^4 , respectively (Figure 5). These values are 1 (sub-1 μ m) and 524 2 (sub-0.18 μ m) orders of magnitude greater than the sub-20 525 μ m value reported by Hoffman and Duce 19 due to inorganic sea 526 salt dominating bulk SSA mass.

Submicrometer (60% RH) EF_{OC} values for a range of 528 biological activity (as indicated by chlorophyll a) in California

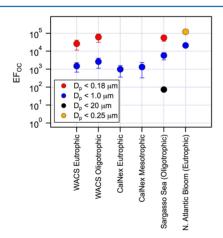


Figure 5. Organic enrichment factors (EF $_{\rm OC}$), as micrograms of carbon, for freshly emitted SSA relative to seawater for WACS, CalNex, 47 Sargasso Sea, 19,49 and a North Atlantic plankton bloom region. 45 WACS, CalNex, and Sargasso Sea values $(D_p < 20 \ \mu m)$ are based on measurement of total OC; Sargasso Sea values (D_p < 0.18 and 1.0 μ m) are based on water-extractable OC; and North Atlantic values are based on water-insoluble OC.

coastal waters were on the order of 1000.⁴⁷ The same SSA 529 generator (Sea Sweep⁴⁶) was used in the western North 530 Atlantic to characterize freshly emitted SSA generated from the 531 eutrophic, biologically productive waters of Georges Bank and 532 oligotrophic, nonproductive waters of the Sargasso Sea. Sub-1 533 μ m and sub-0.18 μ m (60% RH) EF_{OC} values were on the order 534 of 10³ and 10⁴, respectively, with values derived from the 535 oligotrophic seawater being slightly higher (Figure 5). 536 Measurements by Facchini et al.⁴⁸ in the northeast Atlantic 537 during a plankton bloom resulted in EF_{OC} values of 10⁴ and 10⁵ 538 for sub-1 μ m and sub-0.25 μ m SSA, respectively (Figure 5). 539

All measurements of freshly emitted SSA indicate that OC is 540 enriched in all size ranges with respect to seawater and that the 541 smallest analyzed size fraction has the largest enrichment. High 542 OC enrichments occur in regions of both eutrophic and 543 oligotrophic surface seawater. That said, comparisons of SSA 544 OC concentrations and EF_{OC} between experiments is 545 complicated by the different methods used for analysis of OC 546 concentration. EF_{OC} values from Quinn et al.⁴⁷ for California 547 coastal and North Atlantic waters are based on total OC_{SSA} 548 determined from thermal-optical analysis. Values from Keene et 549 al. 49 for the Sargasso Sea are based on combustion of the water- 550 extractable fraction of organic carbon (WEOC). Values from 551 Facchini et al.⁴⁵ for the North Atlantic are based on the water- 552 insoluble organic carbon fraction (WIOC) derived from the 553 difference between total organic carbon (TOC) determined by 554 thermal-optical analysis and water-soluble organic carbon 555 (WSOC) determined by a liquid TOC analyzer. In addition, 556 Collins et al.⁵¹ reported that sintered glass filters produce 557 aerosol containing a larger fraction of organic-enriched particles 558 $(0.8-2.0 \mu \text{m} \text{ size range})$ than either the pulsed plunging 559 waterfall or breaking waves in a wave channel. A dedicated 560 intercomparison study of methods is required to fully 561 understand the method-dependent biases associated with the 562 reported OC concentrations and EF_{OC}.

5. PROPERTIES OF FRESHLY EMITTED SEA SPRAY **AEROSOL**

5.1. Organic Composition

A variety of methods, each providing unique information, has 565 been used to characterize the organic composition of SSA. 566 Proton nuclear magnetic resonance (¹H NMR) spectroscopic 567 measurements of the submicrometer fraction of freshly emitted 568 SSA generated in the northeastern Atlantic show a dominant 569 aliphatic composition, including (a) hydroxylated moieties of 570 sugars, esters, and polyols; (b) aliphatic groups adjacent to 571 carbonyls, amides, and acids; and (c) aliphatic chains with 572 terminal methyls, typical of lipids. 45 High-resolution aerosol 573 mass spectrometer (AMS; Aerodyne Research, Inc.) measure- 574 ments of SSA in coastal California waters show that the organic 575 fraction contains nonoxygenated hydrocarbon classes (40- 576 70%, average = 58%) of which approximately 50% are 577 unsaturated hydrocarbons. 46 Oxygenated hydrocarbons account 578 for 28-58% (average ~40%) of the organic mass. Fourier 579 transform infrared (FTIR) spectroscopy measurements suggest 580 the submicrometer organic carbon mass composition is 53% 581 hydroxyl, 33% alkane, and 14% amine. 46 Fourier transform ion 582 cyclotron resonance mass spectrometry (FT-ICR MS) and 583 NMR spectroscopy of freshly emitted SSA from the Angola 584 Basin (south Atlantic) show CHO, CHNO, and CHOS type 585 compounds. 59,107 NMR spectrometry also shows the presence 586 of acrylic acid (a breakdown product of dimethylsulfoniopro- 587

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588 pionate (DMSP) in the freshly emitted aerosol. 59,107 These 589 measurements are consistent with the composition of dissolved 590 organic carbon (DOC) in the ocean that includes poly-591 saccharides, proteins, nucleic acids, and lipids 66,141 (see section 592 2 for a description of seawater DOC).

5.2. Mixing State

593 Based on the analysis of individual particles by online single-594 particle mass spectrometry and offline spectrochemical imaging 595 and microanalysis of substrate deposited particles, SSA 596 generated in the wave channel apparatus can be broken down 597 into four main particle types: sea salt (SS), sea salt with organic 598 carbon (SS-OC), organic carbon (OC), and biological (Bio). ⁴³ 599 The relative abundance of these particle types is size-600 dependent, with organic-containing types generally becoming 601 more prevalent with decreasing size (Figure 6), similar to the

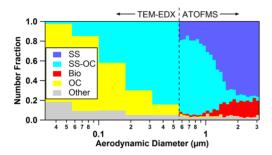


Figure 6. Size-resolved chemical composition from 30 nm to 3 μ m of individual SSA particles generated in the Scripps Institution of Oceanography ocean-atmosphere wave channel. Particles are divided into four distinct chemical types as described in the text. Adapted from Prather et al.⁴³

602 findings of size-segregated bulk chemical composition measure-603 ments. Bio and SS particle types dominate the number 604 fraction of the largest size range analyzed (2–3 μ m in 605 diameter). SS-OC dominates from 200 nm to 1 μ m, and OC 606 particles dominate at sizes below approximately 200 nm.

SS and SS-OC particles are characterized by dominant contributions from Na, Cl, Mg, and K, which are abundant inorganic components of seawater. In addition to these inorganic components, SS-OC particles also contain up to about 30–40% (v/v) organic matter. OC particles contain components of seawater. In addition to these about 30–40% (v/v) organic matter. OC particles contain up to organic ligands ligands ligands ligands organic dominated organic ligands ligands ligands ligands organic organic-dominated supramolecular structures in seawater. The remaining particle type, Bio, is biological in nature and is thought to consist of either whole or fragmented bacterial cells on the basis of aerosol particle size and studies of controlled bacteria culture additions to seawater. This particle type has been associated with known single-particle mass spectrometric markers for biological material, including phosphate, organic nitrogen, and Mg. Additionally, Bio particles are often associated with transition metals as a result of sequestration and chelation by biological components. Transition metals chelation by biological components. Transition metals atmospheric chemistry and climate effects of SSA particles.

Spectroscopic chemical analysis of individual particles can 627 yield important information on the molecular composition of 628 individual particles and the mixing state of various classes of 629 chemicals. For instance, the association of organic matter with 630 Mg in particles with diameters between 30 and 550 nm has 631 been ascertained through chemical imaging of individual particles by scanning or transmission electron microscopy 632 (SEM or TEM) with energy-dispersive X-ray analysis 633 (EDX). S2,148 In order to gather more information about the 634 organic components of SSA, electron microscopic analysis can 635 be performed in parallel with scanning transmission X-ray 636 microscopy (STXM) with near-edge X-ray absorption fine 637 structure (NEXAFS). Combined STXM—NEXAFS and 638 TEM—EDX analysis of OC particles has shown that this type is 639 characterized by a lack of chloride, a preponderance of 640 carbonyls, and inorganic species like Ca, K, and Mg. The 641 carbonyls could indicate the importance of protein and/or fatty 642 acid aggregates as the source of this particle type.

Micro-Raman spectroscopy can also nondestructively resolve 644 information about the organic chemical structures within 645 particles in the 300–4000 nm diameter size range as well as 646 select inorganic components (e.g., sulfate, carbonate) and even 647 the hydration state of crystalline particles. Sulfate has been 648 observed in particles by electron microscopy with EDX, 649 STXM–NEXAFS, and Raman spectroscopy. Raman analysis 650 indicated that sulfate in freshly emitted SSA samples was 651 localized to particles with diameters mostly larger than 2 μ m, 652 while the aliphatic carbon signal was increasingly prevalent as 653 particle size decreased toward 300 nm. ¹⁴⁸ Often individual 654 particle spectra showed the presence of both organics and 655 sulfate. An example of this is shown in Figure 7 for a 2 μ m 656 f7

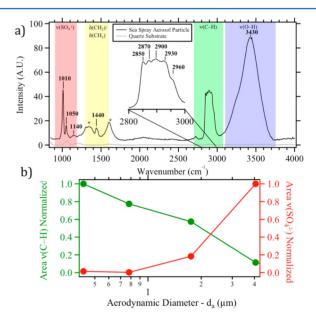


Figure 7. (a) Raman spectra of a 2 μ m SSA particle (with inset of C–H stretching region). Bands associated with various vibrational modes and different functional groups are labeled. (b) Normalized integrated area of Raman bands for $\nu(SO_4^{2-})$ and $\nu(C-H)$ modes, as a function of particle size. Reprinted with permission from Ault et al. ¹⁴⁸Copyright 2013 Royal Society of Chemistry.

diameter particle that shows both sulfate peaks and C–H 657 stretches associated with aliphatic hydrocarbons. The relative 658 areas of the sulfate peak near 1010 cm⁻¹ and the C–H 659 stretching region around 2900 cm⁻¹ shown as a function of 660 particle size demonstrate that the sulfates are mostly in the 661 larger particles and the organic compounds dominate at smaller 662 particle sizes. Signals referring to amino acids along with fatty 663 acids and lipids have been observed in particles, although 664 heterogeneity exists between the Raman spectra collected for 665 different particles collected in a single sample. In addition, 666

667 surface-sensitive spectroscopy studies have indicated that 668 interfacial C—H and O—H stretches exist, but alkyl chains are 669 disordered on the surface, 151 perhaps allowing for the 670 penetration of reactive gas molecules, contrary to the setup of 671 some laboratory studies that utilize single, well-ordered 672 monolayers of surface-active compounds to simulate SSA 673 particle surfaces. 152,153

5.3. Volatility

674 Heating of atmospheric aerosols is used to infer aerosol 675 chemical composition. 8,154,155 Atmospheric aerosol heated to 676 230 °C causes ammonium nitrate, ammonium sulfate, and 677 volatile organics to evaporate, leaving behind nonvolatile 678 organics, sea salt, elemental carbon, and dust. Heating freshly 679 emitted SSA generated at the ocean surface by use of Sea 680 Sweep to 230 °C volatilizes only a small fraction of the organic 681 carbon (<10%). The lack of volatility of SSA at 230 °C is 682 consistent with the colloidal nature of a portion of seawater 683 organic matter 66 (see section 2). It has been hypothesized that 684 aggregates of seawater organic matter, bound together by 685 phytoplankton exudates, are injected into the atmosphere 686 through bubble bursting. Once in the atmosphere, the 687 colloidal exopolymer gels that bind the aggregates together are 688 degraded by ultraviolet light and acidification. These exopol-689 ymer gels have been described as insoluble, thermally stable, 690 and highly hydrated. 45,156

5.4. Hygroscopicity

Measurements of the subsaturated hygroscopic growth factor (HGF) of SSA generated in situ at the ocean surface by use of Sea Sweep at 90% RH for diameters of 50, 100, and 145 nm yield a single mode in the frequency distribution that is 10% less hygroscopic than aerosol generated from artificial seawater in the laboratory experiments achieves similar results to field studies. The relatively large HGFs are consistent with a high enrichment in organic matter similar in composition to seawater colloidal exopolymer gels. The lack of two modes indicates that either all the SSA organics and sea salt are internally mixed at these diameters or the externally mixed particles (OC and SS-OC particles, see Figure 6) are similar in their hygroscopicity.

5.5. Cloud Condensation Nuclei Activity

705 The CCN activation ratio, which indicates the fraction of total 706 particles that take up water and form CCN, has been measured 707 for SSA generated in situ at the ocean surface by use of Sea 708 Sweep. 47 The difference in the measured CCN activation ratio 709 of SSA and aerosol generated from a NaCl solution was used to 710 estimate a supersaturated hygroscopicity parameter. SSA 711 with a dry diameter of 100 nm was found to be about 30% less 712 CCN-active than the NaCl calibration aerosols, while 40 nm 713 particles were 70% less CCN-active. With decreasing particle 714 size, the SSA became less like NaCl due to an increase in the 715 volume fraction of OC. The volume fraction of OC increased 716 from 40% for 100 nm particles to 80% for 40 nm particles. Laboratory studies with large perturbations in seawater 718 organic content also show that very high organic enrichments 719 can affect SSA CCN activity in some cases. When the 720 hygroscopicity parameter 158 was used to quantify CCN activity, 721 a decrease of 9-37% was observed with addition of 722 phytoplankton exudates (512 μM C) or DOC-rich algae 723 cultures to seawater, 50,143 although minimal changes in CCN 724 activity under similar chemical conditions have also been

reported.⁵⁵ In a different laboratory experiment, heterotrophic 725 bacteria were allowed to grow on peptone and yeast extract as a 726 nutrient source. The CCN activity of SSA produced from this 727 seawater was observed to decrease by 86%. This change in 728 CCN activity was driven by a large increase in the number 729 fraction of OC particles with dry diameters between 30 and 200 730 nm.⁵⁰ The change in CCN activity was likely a result of the 731 mixing state of SSA. It has been hypothesized that aerosol 732 mixing state can have a particularly strong control over the 733 CCN activity of SSA particles,⁵⁰ and that changes in internally 734 mixed volume fractions of salts and organics may have a smaller 735 impact.¹⁵⁹

5.6. Ice Nucleation Activity

The heterogeneous ice nucleation (IN) activity of isolated 737 freshly emitted SSA particles using realistic aerosol generation 738 methods has not been reported in the literature. Only a few 739 studies have published ice nucleating particle (INP) concen- 740 trations within the MBL $^{160-163}$ or in controlled marine aerosol 741 generation experiments. ¹⁶⁴ Marine diatoms may influence 742 heterogeneous ice nucleation in SSA particles; ^{165–167} however, 743 the flux of such large plankton cells into the atmosphere is 744 likely quite small due to their large size compared to the SSA 745 number size distribution. On the basis of limited experimental 746 data, recent model studies suggest that the contribution of INP 747 to the MBL from SSA could be important climatologically, 748 especially in mixed-phase clouds 168 and over the Southern 749 Ocean. 169 In terrestrial systems, some biological particles (e.g., 750 certain bacteria) have been characterized as highly IN-active, 751 initiating ice nucleation at temperatures as warm as $-5\,752\,^{\circ}$ C. In comparison, mineral dust (widely accepted as a 753 significant type of INP) has been shown to initiate ice 754 nucleation at approximately -20 °C,¹⁷¹ although less efficient 755 nucleation has been observed at warmer temperatures. 172,173 756 Biological particles have been observed in SSA, 43,145 including 757 both bacteria and viruses, 56,109,174 for which the IN activity is 758 unknown yet potentially important. Overall, studies of IN 759 activity in aerosol particles have shown a high degree of 760 chemical selectivity, 166,173,175–177 although the fundamental 761 process of heterogeneous freezing in the atmosphere has yet to 762 be elucidated.

6. FLUX OF SEA SPRAY AEROSOL TO THE ATMOSPHERE

Most model parametrizations of the flux of SSA to the 765 atmosphere assume that SSA emissions are proportional to 766 whitecap coverage¹³ and can be described as a function of wind 767 speed at a height of 10 m. ¹⁷⁸ Gong¹⁷⁹ and Clarke et al. ⁸ 768 expanded this function to a size-resolved number flux based on 769 comparisons with field observations. Jaegle et al. 180 further 770 modified the source function by adding a dependence on sea 771 surface temperature that improved comparisons with in situ 772 and remote sensing observations. More recently, attempts have 773 been made to account for the flux of the organic fraction of SSA 774 by using a scaling factor in the flux parametrization based on 775 surface ocean chlorophyll concentrations. 117,181–184 However, 776 chlorophyll is able to explain only a fraction of the variance of 777 organic content of ambient marine aerosol that is assumed to 778 originate from the ocean,⁴⁷ most likely because chlorophyll is a 779 tracer for only a small fraction of the ocean carbon pool as 780 discussed above. Large EF_{OC} in oligotrophic waters indicate a 781 source of organic carbon that is not directly associated with 782 chlorophyll and phytoplankton biomass but is available for 783

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784 incorporation in SSA. That said, sunlight-mediated surfactants 785 produced in biologically productive waters do affect the 786 production efficiency (number of particles per unit volume of 787 air detrained) of SSA. In addition, laboratory experiments 788 have shown a link between the number fraction of organic 789 carbon particles in the 60–180 nm size range and heterotrophic 790 biological activity. Recently Burrows et al. 185 have para-791 metrized SSA using a competitive Langmuir adsorption 792 equilibrium at bubble surfaces. This approach is an initial 793 step in linking ocean biogeochemistry and aerosol chemical 794 composition in earth system models utilizing the concepts of 795 fundamental physical chemistry.

The SSA number flux is dominated by particles containing organic carbon. The SSA number size distribution has a dominant mode centered around 100 nm. ^{8,118,186} If the organic fraction of the mass concentration of particles with diameters fraction of the mass concentration in this size range is 1,25% since the smallest sizes are predominantly OC-type particles (Figure 5). Similar or higher mass fractions of freshly emitted organic particles in this size range have been observed by Keene et al. ⁴⁹ and Facchini et al., ⁴⁵ although laboratory sus studies indicate organic/salt fractions less than 40%. ^{50,143} For wind speeds of 5–15 m/s, the number flux of SSA particles is roughly 10–100 cm⁻²·s⁻¹. ^{8,187} If a 1 km boundary layer height and a 5-day lifetime for SSA are assumed, this range in the number flux of SSA would support a background number concentration of 40–400 particles·cm⁻³.

The SSA mass flux is dominated by inorganic sea salts with 812 99% of the mass in a size range with (dry) diameters greater 813 than 1 μ m. ¹⁸⁰ Most of the mass less than 1 μ m is from the tail 814 of the sea salt mass distribution centered around 3 μ m. ¹⁸⁸ If 5% 815 of the submicrometer SSA mass is organic, ⁴⁷ based on the 816 model results of Jaegle et al., ¹⁸⁰ the SSA organic flux is 817 approximately 3 Tg C·year⁻¹. Other model estimates for 818 submicrometer SSA organic flux range from 2 to 298 Tg C·819 year⁻¹. ^{182–184}

Clarke et al.⁸ estimate that in regions with little continental 820 821 impact, the SSA flux contributes 5-90% of the CCN in the 822 marine boundary layer, with the remainder coming from the 823 free troposphere via entrainment. In regions with little 824 continental impact, many of the free troposphere particles 825 result from gases that are emitted from the ocean and oxidized 826 in the free troposphere. Thus, it is of interest to compare the gas and particulate fluxes of organic carbon from the ocean to 828 the atmosphere. The dominant organic carbon gas-phase 829 emission from the ocean to the atmosphere is in the form of 830 dimethylsulfide (DMS). 189 The DMS flux has been extensively 831 studied over the past 25 years and is estimated at 19 Tg C·832 year⁻¹ (25 Tg S·year⁻¹). ¹⁸⁹ DMS is a major source of sulfate aerosol globally 190 but is also oxidized to methanesulfonic acid (MSA). If the average molar ratio of MSA/SO₄²⁻ from DMS oxidation is 0.2, ¹⁹¹ DMS oxidation contributes about 0.6 Tg C to secondary organic aerosol via MSA. Most of the carbon associated with DMS will be oxidized to CO2 and will not contribute to aerosol mass. 1 Similarly, the ocean flux of CH₄ to the atmosphere (0.3 Tg C·year⁻¹)¹⁹² will not contribute to aerosol mass. Facchini et al. 193 and Müller et al. 194 have reported monomethylammonium, dimethylamine, and diethyl-842 amine in submicrometer particles over the north Atlantic and at 843 Cape Verde, respectively. The combined concentrations were 844 an order of magnitude less than that of MSA, suggesting an 845 amine carbon flux from the ocean of roughly 0.06 Tg C·year⁻¹. 846 Isoprene and monoterpene emissions from the ocean may

contribute up to 1 Tg C-year⁻¹ to the atmosphere. ¹⁹⁵ The ⁸⁴⁷ fraction of this gas-phase carbon that is converted to ⁸⁴⁸ atmospheric particulate carbon is quite uncertain and the ⁸⁴⁹ atmospheric oxidation products, most likely oxalate, make up ⁸⁵⁰ only a few percent of the submicrometer OC aerosol. ⁴¹ ⁸⁵¹ Although the sum of these potential secondary sources of ⁸⁵² organic carbon from the ocean is highly uncertain, it is likely to ⁸⁵³ be at the very lower end of the range of the SSA primary ⁸⁵⁴ source.

7. FUTURE DIRECTIONS

Much remains to be learned about the connections between 856 seawater properties (DOC composition, local biological 857 activity, surfactants, etc.) and the composition of SSA. Many 858 important questions remain, including the following: 859

- (1) How do physical production mechanisms influence the 860 chemical composition of sub- and supermicrometer SSA? 861
- (2) How are production mechanisms influenced by the 862 biological and chemical properties of seawater and the 863 SSML?
- (3) What role do subsurface seawater and the SSML play in determining the composition of SSA?
- (4) What are the specific classes of organic molecules in the SSML and SSA?
- (5) What major classes of organic compounds move from $_{869}$ bulk surface seawater and/or the SSML to SSA particles? 870
- (6) Does the existence of a particular organic compound $_{871}$ class in the SSML and/or SSA change as a function of $_{872}$ the biogeochemical state of the seawater?
- (7) What model compounds can be used in fundamental 874 laboratory studies to better understand the physicochemical properties of SSA? 876
- (8) How can the flux of SSA, including the organic fraction, $_{877}$ best be parametrized in global-scale models to most $_{878}$ accurately reflect the enrichment and climate impacts of $_{879}$ organics in SSA?
- (9) What is the role of gas-phase organic species emitted 881 from the ocean in forming new aerosol or contributing to 882 the growth of existing marine aerosol? How does the 883 magnitude of this source of carbon to the atmosphere 884 compare to the source of carbon from primary SSA 885 production?

A combination of field and laboratory observations are 887 required to answer these questions and inform and improve 888 climate models. The most transformational results will be 889 obtained by including the results from both the oceanic and 890 atmospheric communities in observational and modeling 891 efforts.

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Notes

The authors declare no competing financial interest.

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898 Biographies



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Douglas B. Collins received a B.A. in chemistry from Colgate University in 2008 and continued to the University of California at San Diego for an M.S. and Ph.D. in atmospheric and analytical chemistry, completed in 2014. His graduate work focused on the importance of aerosol chemistry and mixing state on the cloud nucleating properties of aerosols, including detailed studies of the production and physicochemical properties of sea spray aerosol. Douglas is currently a postdoctoral fellow at the University of California at San Diego with plans to pursue aerosol—climate interactions through remote measurements of the atmosphere.



Vicki H. Grassian received her B.S. degree in chemistry from the State 921 University of New York at Albany, her M.S. degree at Rensselaer 922 Polytechnic Institute (1982) and her Ph.D. at the University of 923 California at Berkeley (1987). Professor Grassian is currently the F. 924 Wendell Miller Professor of Chemistry in the Department of 925 Chemistry and holds appointments in the Departments of Chemical 926 and Biochemical Engineering and Occupational and Environmental 927 Health. In addition, she is currently Co-Director of the Center for 928 Aerosol Impacts on Climate and the Environment (CAICE), a multi- 929 institutional Phase II NSF Chemical Center of Innovation. Her 930 research interests are in the areas of environmental molecular surface 931 science, heterogeneous atmospheric chemistry, climate impact of 932 atmospheric aerosols, and environmental and health aspects of 933 nanoscience and nanotechnology. In 2012, she received the National 934 American Chemical Society (ACS) Award for Creative Advances in 935 Environmental Science and Technology for her original and creative 936 contributions in understanding mineral dust aerosol properties 937 through laboratory studies and their impact on atmospheric chemistry 938 and climate. In 2014, she received the ACS Midwest Award and the 939 Royal Society of Chemistry John Jeyes Award for her pioneering 940 contributions to the chemistry of environmental interfaces, heteroge- 941 neous atmospheric chemistry, and the environmental implications of 942 nanomaterials.



Kimberly A. Prather received her Ph.D. in 1990 from the University of 944 California at Davis. She was a postdoctoral fellow at the University of 945 California at Berkeley (1990–1992). In 1992, she became an assistant 946 professor at University of California at Riverside. In 2001, she moved 947 to University of California at San Diego as a professor of chemistry. 948 Her research focuses on improving our understanding of how humans 949 are influencing our atmosphere and climate. She is Director of the 950 Center for Aerosol Impacts on Climate and the Environment 951 (CAICE), a multi-institutional Phase II NSF Chemical Center of 952

953 Innovation. She is a Fellow of the American Geophysical Union and 954 the American Association for the Advancement of Science.



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